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AUDITOR GENERAL

STATE OF ARIZONA
OFFICE OF THE
AUDITOR GENERAL

WILLIAM THOMSON
DEPUTY AUDITOR GENERAL

November 14, 2003

The Honorable Robert Blendu, Chair
Joint Legislative Audit Committee

The Honorable John Huppenthal, Vice Chair
Joint Legislative Audit Committee

Dear Senator Blendu and Representative Huppenthal:

Our Office has recently completed a 24-month followup of the Department of Public Safety—Criminal Information Services Bureau, Access Integrity Unit, and Fingerprint Identification Bureau regarding the implementation status of the 11 audit recommendations (including sub-parts of the recommendations) presented in the performance audit report released in October 2001 (Auditor General Report No. 01-28). As the attached grid indicates:

- 9 of the 11 recommendations have been implemented; and
- 2 of the 11 recommendations are in the process of being implemented.

Unless otherwise directed by the Joint Legislative Audit Committee, this report concludes out follow-up work on the Department's efforts to implement the recommendations resulting from the October 2001 performance audit.

Sincerely,

Debbie Davenport
Auditor General

Attachment

cc: Colonel Dennis Garrett, Director
Department of Public Safety

**DEPARTMENT OF PUBLIC SAFETY
Criminal Information Services Bureau,
Access Integrity Unit, and
Fingerprint Identification Bureau
24-Month Follow-Up Report To
Auditor General Report No. 01-28**

FINDING I: DPS Could Better Ensure the Integrity of the State’s Criminal History Records System

Recommendation	Status of Implementing Recommendation	Explanation for Recommendations That Have Not Been Implemented
<p>1. DPS should develop a plan for completing regular Criminal History Reporting Reviews that:</p> <ul style="list-style-type: none"> a. Includes a schedule to complete reviews of criminal justice agencies in all counties on a regular basis; b. Seeks the additional staff necessary to complete those reviews; c. Ensures that the recommendations and any training DPS provides continually address the reasons why problems identified in the reviews occur; 	<p style="text-align: center;">Implemented at 18 months</p> <p style="text-align: center;">Implemented at 12 months</p> <p style="text-align: center;">Implemented at 24 months</p>	

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FINDING II: DPS Should Expedite and Improve Background Checks (Concl'd)

Recommendation	Status of Implementing Recommendation	Explanation for Recommendations That Have Not Been Implemented
<p>2. DPS should take additional steps to become a full participant in the National Crime Prevention and Privacy Compact. Specifically, DPS should:</p> <ul style="list-style-type: none"> a. Obtain legislative approval of the Compact; and b. Work with the FBI to make necessary changes to its data systems and processes. 	<p style="text-align: center;">Implemented at 12 months</p> <p style="text-align: center;">Implementation in Process</p>	